



AS Sertifitseerimiskeskus

**Certification Service and
Timestamping Service
Provider's Information System
Audit Report**

Advisory

February 2011

This report contains 2 pages

Appendices comprise 2 pages

Certification service and time-stamping service provider
information system audit report 2011

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1 Summary

1.1 The objective of the audit

Our objective of the audit is to assess whether AS Sertifitseerimiskeskus (hereinafter: SP) policy requirements on the operation and management practices of certification authorities issuing qualified certificates are in accordance with ETSI TS 101 456 and ETSI TS 102 023 standards.

1.2 Auditor

The audit was carried out by KPMG Baltics OÜ *Manager* Janno Kase (CISA certificate nr. 0541738, issued by Information Systems Audit and Management Association on September 15, 2005).

1.3 Audit implementation

We carried out the audit between January 25 – February 28, 2011. During the audit, we familiarized ourselves with AS Sertifitseerimiskeskus IT environment and documentation, interviewed key personnel, surveyed the work processes and carried out other control procedures.

1.4 Auditor's decision

We have audited AS Sertifitseerimiskeskus IT environment, documentation and key processes. We think that the scope of our audit gives sufficient basis for expression of opinion regarding AS Sertifitseerimiskeskus information systems.

We are of the opinion that at the time of the audit, AS Sertifitseerimiskeskus followed principles prescribed in the technical specifications ETSI TS 102 023 "Policy requirements for time-stamping authorities" and ETSI TS 101 456 "Policy requirements for certification authorities issuing qualified certificates" in areas of certification service and time-stamping service.

2 Evaluations and conclusions

2.1 High-quality and secure service

It is checked whether the SP has applied due professional care to guarantee a high-quality and secure service.

Considering AS Sertifitseerimiskeskus personnel policy, qualifications of employees, thoroughness and conservatism in critical areas, set work methods and existing IT environment, we are of the opinion that the company is capable of guaranteeing certification service and time-stamping service quality and security on a continuous basis.

2.2 Compliance with Certification Practice Statement

The compliance of the SP information system, including compliance of organization and work methods, with documented Certification Practice Statement is checked.

The company's information system, organization and work methods comply with documented Certification Practice Statement to significant extent.

2.3 ETSI TS 101 456

Compliance of the SP's information system is checked against standard ETSI TS 101 456.

We checked compliance with every part of standard ETSI TS 101 456 "Policy requirements for certification authorities issuing qualified certificates". We reached the conclusion that at the time of the audit, AS Sertifitseerimiskeskus followed principles prescribed in the standard in areas of certification service and time-stamping service.

2.4 ETSI TS 102 023

Compliance of the SP's information system is checked against standard ETSI TS 102 023.

We checked compliance with every part of standard ETSI TS 102 023 "Policy requirements for time-stamping authorities". We reached the conclusion that at the time of the audit, AS Sertifitseerimiskeskus followed principles prescribed in the standard in areas of certification service and time-stamping service.

Yours faithfully,
KPMG Baltics OÜ

(signed digitally)

Taivo Epner
Partner

(signed digitally)

Janno Kase
Manager, CISA



Annex 1: Confirmation that the audit was carried out during the given period

Annex 2: Copy of CISA certificate of auditor