



AS Sertifitseerimiskeskus

Audit Conclusion

**Audit According to ETSI EN
319 411-2, ETSI EN 319 411-
3 and ETSI TS 102 023
Standards**

Advisory

11 November 2013

This report contains 5 pages

Appendices comprise 2 pages

**Audit Conclusion: Audit According to ETSI EN 319 411-2,
ETSI EN 319 411-3 and ETSI TS 102 023 Standards**

Table of Contents

1	Summary	1
1.1	The objective of the audit	1
1.2	Auditor	1
1.3	Audit implementation	1
1.4	Auditor's decision	1
2	Evaluations and conclusions	2
2.1	High-quality and secure service	2
2.2	Compliance with Certification Practice Statement	2
2.3	Compliance with Time-Stamping Principles	2
2.4	ETSI EN 319 411-2	2
2.5	ETSI EN 319 411-3	2
2.6	ETSI TS 102 023	3

1 Summary

1.1 The objective of the audit

Our objective of the audit is to assess whether AS Sertifitseerimiskeskus (hereinafter: SP) policy requirements on the operation and management practices of certification authorities issuing qualified certificates are in accordance with ETSI EN 319 411-2, ETSI EN 319 411-3 and ETSI TS 102 023 standards.

1.2 Auditor

The audit was carried out by KPMG Baltics OÜ *Manager* Teet Raidma (CISA certificate nr. 0649518, issued by Information Systems Audit and Management Association on September 1, 2006).

1.3 Audit implementation

We carried out the audit between 1st of September – 11th of November, 2013. During the audit, we familiarized ourselves with AS Sertifitseerimiskeskus IT environment and documentation, interviewed key personnel, surveyed the work processes and carried out other control procedures.

1.4 Auditor's decision

We have audited AS Sertifitseerimiskeskus IT environment, documentation and key processes. We think that the scope of our audit gives sufficient basis for expression of opinion regarding AS Sertifitseerimiskeskus information systems.

We are of the opinion that AS Sertifitseerimiskeskus information systems correspond to requirements provided in the technical specifications of ETSI EN 319 411-2, ETSI EN 319 411-3 and ETSI TS 102 023.

2 Evaluations and conclusions

2.1 High-quality and secure service

It is assessed whether the SP has applied due professional care to guarantee a high-quality and secure service.

Considering AS Sertifitseerimiskeskus personnel policy, qualifications of employees, thoroughness and conservatism in critical areas, set work methods and existing IT environment, we are of the opinion that the company is capable of guaranteeing certification service and time-stamping service quality and security on a continuous basis.

2.2 Compliance with Certification Practice Statement

The compliance of the SP information system, including compliance of organization and work methods, with documented Certification Practice Statement is assessed.

The company's information system, organization and work methods comply with documented Certification Practice Statement to significant extent.

2.3 Compliance with Time-Stamping Principles

The compliance of the SP information system, including compliance of organization and work methods, with documented Time-Stamping Principles is assessed.

The company's information system, organization and work methods comply with documented Time-Stamping Principles to significant extent.

2.4 ETSI EN 319 411-2

Compliance of the SP's information system is assessed against standard ETSI EN 319 411-2.

We assessed full compliance with standard ETSI EN 319 411-2. We reached the conclusion that AS Sertifitseerimiskeskus follows principles prescribed in the standard in areas of certification services.

2.5 ETSI EN 319 411-3

Compliance of the SP's information system is assessed against standard ETSI EN 319 411-3.

We assessed full compliance with standard ETSI EN 319 411-3. We reached the conclusion that AS Sertifitseerimiskeskus follows principles prescribed in the standard in areas of public key services.



2.6 ETSI TS 102 023

Compliance of the SP's information system is assessed against standard ETSI TS 102 023.

We assessed full compliance with standard ETSI TS 102 023. We reached the conclusion that AS Sertifitseerimiskeskus follows principles prescribed in the standard in areas of time-stamping service.

Yours faithfully
(signed digitally)

(signed digitally)

Andris Jegers
KPMG Baltics OÜ Partner

Teet Raidma
KPMG Baltics OÜ Manager, CISA

Annex 1: Confirmation that the audit was carried out during the given period

Annex 2: Copy of CISA certificate of auditor